

08/16/01



JC667 U.S. PTO

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08-20-01

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. APXX0006

First Inventor or Application Identifier Samuelson et al.

Title Method and Apparatus for Using a Transaction System...

Express Mail Label No. EL81615843US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages 196]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 41]
- Oath or Declaration [Total Pages 3]
 - ☐ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

NOTE: CLAIMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO:

Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - ☐ Computer Readable Copy
 - ☐ Paper Copy (identical to computer copy)
 - ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney
(when there is an assignee) ☒
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☒ Small Entity Statement(s) ☐ Statement filed in prior application,
Status still proper and desired
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. 09_j 613,685
 Prior application information: Examiner Unknown Group / Art Unit: Unknown

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 22862 or ☐ Correspondence address below
 (Insert Customer No. or Attach bar code label here)

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Michael A. Glenn

Registration No. (Attorney/Agent)

30,176

Signature

[Signature]

Date

8/16/2001

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision
 Small Entity payments must be supported by a small entity statement,
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12
 See 37 CFR §§ 1.27 and 1.28

TOTAL AMOUNT OF PAYMENT (\$) 2,086.00

Complete if Known

Application Number Unassigned
 Filing Date Herewith
 First Named Inventor Samuelson et al.
 Examiner Name Unassigned
 Group / Art Unit Unassigned
 Attorney Docket No. APXX0006

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to
 Deposit Account Number 07-1445
 Deposit Account Name Michael A. Glenn
☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
101	760	201 380	Utility filing fee	355.00
106	310	206 155	Design filing fee	
107	480	207 240	Plant filing fee	
108	760	208 380	Reissue filing fee	
114	150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 355.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
199	20**	179	1611
6	3**	3	120.00
Multiple Dependent			

**or number previously paid, if greater, For Rescues, see below

Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
103	18	203 9	Claims in excess of 20	
102	78	202 39	Independent claims in excess of 3	
104	260	204 130	Multiple dependent claim, if not paid	
109	78	209 39	** Rescued independent claims over original patent	
110	18	210 9	** Rescued claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 1,731.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
105	130	205 65	Surcharge - late filing fee or oath	
127	50	227 25	Surcharge - late provisional filing fee or cover sheet	
130	130	139 130	Non-English specification	
147	2,520	147 2,520	For filing a request for reexamination	
112	920*	112 920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115	110	215 55	Extension for reply within first month	
116	380	216 190	Extension for reply within second month	
117	870	217 435	Extension for reply within third month	
118	1,300	218 680	Extension for reply within fourth month	
128	1,850	228 925	Extension for reply within fifth month	
119	300	219 150	Notice of Appeal	
120	300	220 150	Filing a brief in support of an appeal	
121	260	221 130	Request for oral hearing	
138	1,510	138 1,510	Petition to institute a public use proceeding	
140	110	240 55	Petition to revive - unavoidable	
141	1,210	241 605	Petition to revive - unintentional	
142	1,210	242 605	Utility issue fee (or reissue)	
143	430	243 215	Design issue fee	
144	580	244 290	Plant issue fee	
122	130	122 130	Petitions to the Commissioner	
123	50	123 50	Petitions related to provisional applications	
126	240	126 240	Submission of Information Disclosure Stmt	
581	40	581 40	Recording each patent assignment per property (less number of properties)	0.00
146	760	246 380	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	760	249 380	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____				
Other fee (specify) _____				
* Reduced by Basic Filing Fee Paid				
SUBTOTAL (3) (\$) 0.00				

SUBMITTED BY

Name (Print Type) Michael Glenn

Registration No. (Attorney/Agent) 30,176

Complete (if applicable)

Telephone 650-474-8400

Signature

Date

8/16/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.